



2111 *BW*

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/803,845
Filing Date	March 12, 2001
First Named Inventor	DiRaimondo, et al.
Group Art Unit	2111
Examiner Name	Phan, Raymond Ngan
Attorney Docket Number	CM01891G
Total Number of Pages in this Submission	12

## ENCLOSURES

(check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-Related papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC {Appeal Notice, Brief, Reply Brief}
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter with appropriate copies
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CDs _____	
<input type="checkbox"/> Certified Copy of Priority Documents		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Valerie M. Davis	Registration No.	50,203
Signature	<i>Valerie M. Davis</i>		
Date	June 24, 2004		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313 on the date listed below:

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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) DiRaimondo, et al. GROUP ART UNIT: 2111  
APPLN. NO.: 09/803,845 EXAMINER: Phan, Raymond Ngan  
FILED: March 12, 2001  
TITLE: **METHOD OF REGULATING USAGE AND/OR CONCESSION  
ELIGIBILITY VIA DISTRIBUTED LIST MANAGEMENT IN A  
SMART CARD SYSTEM**

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AMENDMENT

Mail Stop Amendment  
Assistant Commissioner for Patents  
Alexandria, VA 22313

Sir:

Responsive to the Office Action dated March 25, 2004, Paper No. 4, and Examiner's comments with regard thereto, please enter the following amendments in the above-entitled application, without prejudice or disclaimer.

**Listing of the Claims** begins on page 2 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.